

CHARACTERIZATION OF THE TWO-PHOTON ABSORPTION CARRIER GENERATION  
REGION IN BULK SILICON DIODES

By

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## CHAPTER I

### Introduction

The pulsed laser has been a popular experimental method for interrogating single event effects (SEEs) in microelectronic devices and circuits for many years [1]. While particle accelerator testing is still considered to be the standard test method, the expense and availability of particle accelerator facilities suitable for SEE testing can often be limiting factors in thoroughly characterizing devices and circuits. Particle accelerator testing can also be destructive since devices can be exposed to a very high fluence of radiation, potentially resulting in device degradation. In addition to being more readily available and cost effective, laser testing offers deep insight into the spatial and temporal characteristics of SEEs that can be difficult or impossible to determine with broadbeam particle accelerator based test methods. Also, assuming the laser pulse energy is not excessively high, device degradation can be completely avoided. Because of these factors, SEE laser testing is an important resource for the the radiation effects community.

SEE laser testing is often performed using laser light wavelengths above the bandgap. In this regime, Beer's law absorption dominates, and it can be assumed that for every one energetic photon incident on the semiconductor, one electron-hole pair will be created [1]. However, one limitation of choosing this approach is that the total laser penetration will exponentially attenuate beginning at the surface of the device under test (DUT). This requires that devices be tested from the topside, through any device overlayer materials that may be present. As metallization densities increase in device overlayers, topside laser testing can become difficult or impossible, due to shadowing effects. This was one limitation of topside laser testing that lead to the development of two-photon absorption (TPA) SEE laser testing [2].

TPA testing relies on the use of high peak-power femtosecond laser pulses at subbandgap optical wavelengths. For wavelengths below the bandgap of the semiconducting material, few carriers are generated at low light intensities [3, 4]. However, if the laser is highly focused, then in the region near the focal plane where the intensity of the incident light is greatest, the material can absorb two photons simultaneously to generate a single electron-hole pair. Because of this, carriers can be deterministically injected at any location within the DUT. This avoids the limitations imposed



by dense overlayer metallization by allowing carriers to be injected from the backside, through the substrate. This represented a significant step forward for SEE laser testing, and, since it was first discussed as a test method in 2002 [2], it has been used many times to characterize the SEE susceptibility of devices and circuits [2, 5–11].

Recently, there has been increased interest in trying to find a quantitative relationship between TPA SEE testing and other well-established SEE test methods, such as heavy-ion irradiations, and single-photon laser testing [12, 13]. However, very little experimental work has been reported that describes the physical structure of the charge cloud centered on the focal plane where TPA carrier generation occurs (the "TPA region"). A detailed study of the carrier distribution produced in the TPA region is important for any area of SEE testing where the spatial qualities of the injected carrier density are significant. Specifically, TPA-induced latchup studies [14, 15] and studies that use TPA as a method to investigate charge sharing between adjacent devices [8] could especially benefit from a detailed knowledge of the charge distribution in the TPA region.

This work reports measurements of the spatial extent of the TPA region in the direction of beam propagation in bulk-Si devices. This was accomplished through a combination of charge collection measurements on large area diode structures and device-level technology computer aided design (TCAD) simulations. The results indicate that the beam waist diameter of the laser can have a significant impact on the peak carrier concentration and spatial distribution of carriers in the TPA region. Experimental measurements also show that at sufficiently high laser pulse energies, the location of where peak charge collection occurs in a device can shift away from the reverse-biased junction. A simple analytical model describing the TPA-induced carrier density is discussed. This model is then implemented in TCAD in an attempt to directly compare the results of the simple TPA model to the experimental data. The simulation results show that while the simple TPA is sufficient for analyzing qualitative trends in the data, a combination of factors relating to the experiment and the simple TPA model itself prevent a meaningful quantitative comparison of the experimental data to the simulation results.

## CHAPTER II

### Background

#### II.1 Physical Basis for Two-Photon Absorption and Gaussian Beam Parameters

Conceptually, the TPA process is easy to understand. When the photon energy is greater than the bandgap of the semiconducting material, one electron-hole pair is created for every absorbed photon. However, if the energy of the incoming photons is less than the bandgap of the semiconductor, two photons in close proximity can be absorbed simultaneously, leading to the creation of a single electron-hole pair. Both of these processes are shown in Fig. II.1. By focusing the laser beam to a small spot size, a high density of photons can be present in small area, which promotes the TPA process. Fig. II.2 shows the room temperature absorption spectrum for silicon in the visible and near-infrared regions of the electromagnetic spectrum. Common wavelengths used for laser SEE testing are labeled. At wavelengths beyond 1200 nm, the linear absorption coefficient is negligible, meaning that the vast majority of carriers created at wavelengths greater than 1200 nm is due to multi-photon absorption processes. The laser wavelength used for this work was 1.26  $\mu\text{m}$ , which corresponds to a photon energy of approximately 1 eV.

The majority of laser beams used for SEE testing have Gaussian radial intensity distributions [1]. The equations governing the propagation of Gaussian beams in linear media can be derived

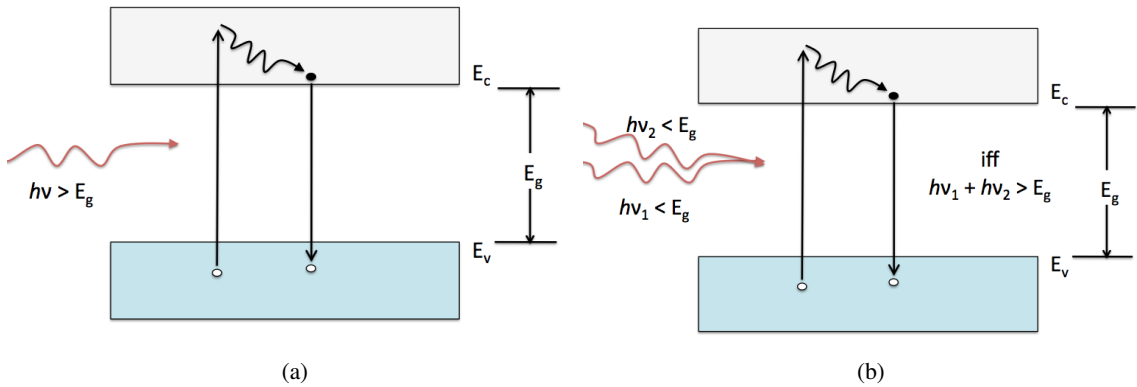


Figure II.1: An illustration of the single-photon and two-photon absorption process in a semiconductor. In (a), a photon having energy greater than the bandgap leads to the creation of a single electron-hole pair. In (b), two photons of subbandgap energies are absorbed simultaneously to create a single electron-hole pair.

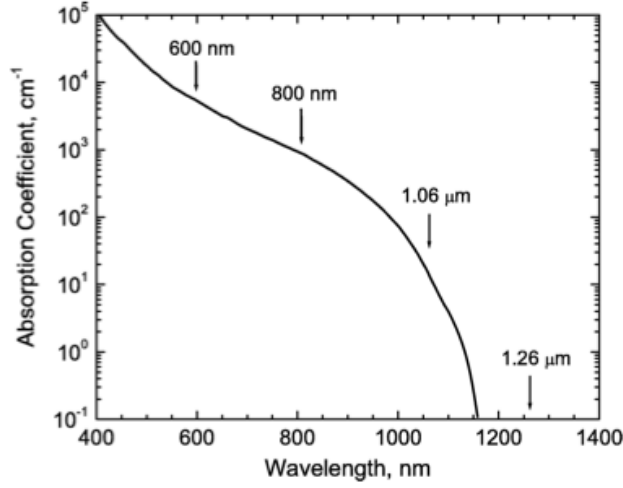


Figure II.2: Room temperature absorption spectrum of silicon for various light wavelengths. After [2].

using the wave equation [4]. The longitudinal profile of a Gaussian beam can be seen in Fig. II.3. The beam radius,  $w(z)$ , is the point at which the laser intensity has decayed to  $1/e$  of its peak value and is given by:

$$w(z)^2 = w_o^2 \left[ 1 + \left( \frac{\lambda z}{\pi w_o^2 n} \right)^2 \right], \quad (\text{II.1})$$

where  $w_o$  is the  $1/e$  radius at the beam waist,  $\lambda$  is the wavelength,  $z$  is the direction of beam propagation, and  $n$  is the index of refraction in the medium. The region of the beam within which  $w_o$  is less than or equal to  $\sqrt{2}w_o$  defines the confocal parameter of the beam. Mathematically, this is expressed as

$$b = \pm \frac{\pi n w_o^2}{\lambda}. \quad (\text{II.2})$$

The parameter  $Z_R$  is known as the Rayleigh range, and is equal to one half of the confocal parameter. Two times the confocal parameter ( $2b$ ) represents the range over which the beam is well collimated within the vicinity of  $w_o$ .  $\Theta$  represents the total angular spread of the beam and is twice the beam divergence. The radial dependence of the pulse irradiance is given by

$$I(r, z) = \frac{2P}{\pi w^2} \exp\left(\frac{-2r^2}{w^2}\right) \quad (\text{II.3})$$

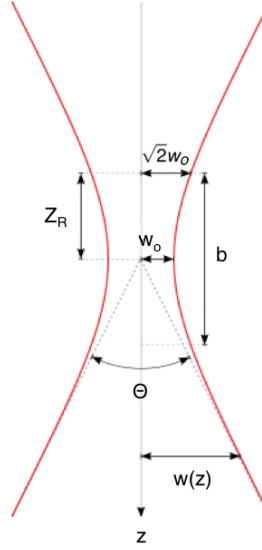


Figure II.3: The longitudinal profile of a Gaussian beam. Relevant beam parameters are labeled.

where  $P$  is the pulse power and  $r$  is the radial distance relative to the  $z$  axis (see Fig.II.3). The laser pulse's time dependence has been suppressed in this analysis.

A unique feature of a Gaussian beam is that the product of its width and divergence is the smallest possible [16]. Because of this, once the beam is focused to a small spot, it spreads out rapidly as it propagates away from that spot. While this is undesirable in laser applications that require a well collimated beam over a long range, it is a benefit to TPA SEE testing as it helps to confine carrier generation to the vicinity around the beam waist.

## II.2 The Simple TPA Model

The equations governing pulse propagation and carrier generation in semiconductors are [2, 17, 18]

$$\frac{dI(r,z)}{dz} = -\alpha I(r,z) - \beta_2 I^2(r,z) - \sigma_{ex} N I(r,z) \quad (\text{II.4})$$

$$\frac{d\Phi(r,z)}{dz} = \beta_1 I(r,z) - \gamma_1 N(r,z) \quad (\text{II.5})$$

$$\frac{dN(r,z)}{dt} = \frac{\alpha I(r,z)}{\hbar\omega} + \frac{\beta_2 I^2(r,z)}{2\hbar\omega} \quad (\text{II.6})$$

where  $I$  is the pulse irradiance,  $N$  is the density of free carriers, and  $\Phi$  is the phase. Also,  $\alpha$  is the single-photon absorption coefficient,  $\beta_2$  is the two-photon absorption coefficient — which is proportional to the imaginary part of the third-order nonlinear optical susceptibility ( $\chi^{(3)}$ ) [19],  $\beta_1$  is proportional to the real part of  $\chi^{(3)}$ ,  $\sigma_{ex}$  is the absorptivity of the laser-generated free carriers, and  $\gamma_1$  represents the refraction due to free carriers. For (II.6),  $\hbar\omega$  is the photon energy, and the factor of two in the denominator of the second term occurs as a result of two photons being absorbed simultaneously to generate one electron-hole pair. Said in words, (II.4) describes the optical absorption experienced by a pulse propagating in the medium, (II.5) represents the phase change due to free carriers and the laser pulse itself, and (II.6) is the time rate of change in the carrier concentration due to single-photon and two-photon absorption. If a subbandgap laser wavelength is chosen,  $\alpha$  in these equations essentially goes to zero, meaning the contribution to the carrier generation due to single-photon absorption is negligible, which reduces (II.6) to

$$\frac{dN_{TPA}(z, r)}{dt} = \frac{\beta_2 I^2(z, r, t)}{2\hbar\omega}. \quad (\text{II.7})$$

TPA is the result of a complex series of interactions between the nonlinear optical phenomena that lead to the multi-photon absorption process and the semiconductor material itself. As such, a full mathematical description in the most general case would involve solving (II.4)-(II.6) simultaneously using (II.1) and (II.3). Previous works have discussed the TPA process in qualitative terms by solving (II.6) for  $N$  [2, 20]. While this does ignore optical effects that could have a significant impact on the overall carrier generation (such as changes in the nonlinear index of refraction and the effects of free carrier absorption with increasing carrier density), it does provide a qualitative explanation of TPA in semiconductors.

If nonlinear absorption is the only loss mechanism in a material (as is the case when assuming (II.7)), the irradiance as a function of depth in the material is

$$I(z) = \frac{I_o}{1 + \beta_2 I_o z}, \quad (\text{II.8})$$

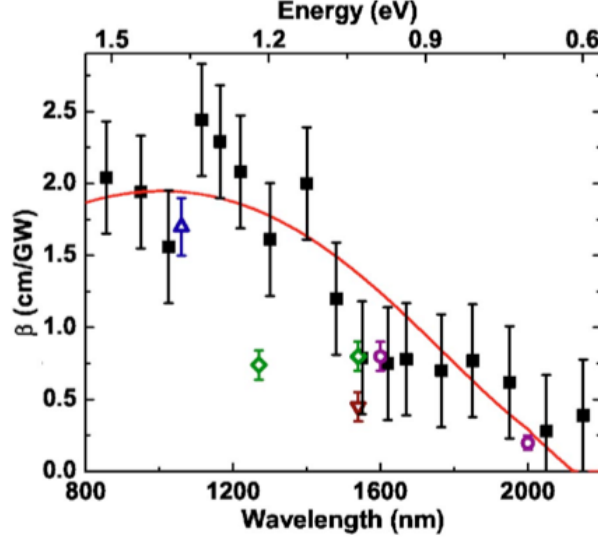


Figure II.4:  $\beta_2$  as a function of laser wavelength for intrinsically doped silicon (black squares). After [21].

where  $I_o$  is the beam irradiance at the waist. Finally, integrating (II.7) with respect to time yields

$$N_{TPA}(z) = \frac{\beta_2}{2\hbar\omega} \int_{-\infty}^{+\infty} I^2(z,t) dt. \quad (\text{II.9})$$

The solution of (II.9) through the use of equations (II.1), (II.3), and (II.8) represents the simple model for two-photon absorption, assuming that linear absorption is deemed to be negligible by the selection of a proper wavelength of light. The "simple" descriptor has been chosen to emphasize that the model currently describes TPA only in the most simplistic of terms, without regard for any higher order optical effects that could have an affect on the TPA-induced carrier density.

Obviously, several experimental parameters must be known to solve (II.9) for a specific case. Some of these are governed by the individual experiment, such as the laser pulse power ( $P$  in (II.3)), the beam waist size, and the wavelength of the laser. Other necessary parameters, while experimentally derived, can be applied to a wider range of TPA experiments. These are the index of refraction ( $n$ ) and the two-photon absorption coefficient ( $\beta_2$ ). For the simple model,  $n$  is assumed to be constant. The validity of this assumption is debatable, as some non-linear optical effects can change the index of refraction. For this work, the index of refraction of silicon,  $n \approx 3.51$ , has been used.

The value of the two-photon absorption coefficient varies widely in the literature, however. Several experimenters have measured  $\beta_2$  in silicon under a variety of circumstances, the most relevant

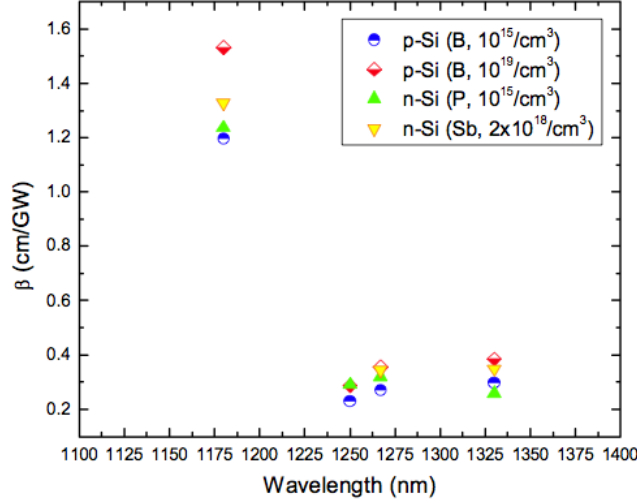


Figure II.5:  $\beta_2$  as a function of laser wavelength for various doping concentrations in silicon. A wavelength of  $1.26 \mu\text{m}$  was used for the measurements reported in this work. After [22].

to this work being the measurement of  $\beta_2$  as a function of silicon doping and light wavelength. The earliest reported measurements of  $\beta_2$  for bulk crystalline silicon were in [17], which reported a value of  $1.5 \text{ cm/GW}$ . However, the wavelength used in that work was  $1.05 \mu\text{m}$ , which is still slightly in the region of linear absorption for silicon. The samples used to determine  $\beta_2$  in [17] were also undoped (intrinsic). Lower silicon dopings tend to lead to higher values for  $\beta_2$  [22]. In [23], a value for  $\beta_2$  of  $0.74 \text{ cm/GW}$  was reported for a laser wavelength of  $1.27 \mu\text{m}$  at silicon dopings (both  $p$  and  $n$ ) of approximately  $10^{15} \text{ cm}^{-3}$ . In [21], measurements of  $\beta_2$  in silicon at a variety of wavelengths are reported. Their results can be seen in Fig. II.4. While this figure is illustrative of how  $\beta_2$  changes as a function of wavelength in silicon, it should be noted that the black squares represent  $\beta_2$  in *intrinsically* doped samples. The determination of  $\beta_2$  most relevant to this work is that of [22], where  $\beta_2$  was determined as a function of both wavelength and doping. In [22], for all tested doping concentrations (at a wavelength of  $1.25 \mu\text{m}$ ), the value of the TPA coefficient was measured to be approximately  $0.3 \text{ cm/GW}$ . Because this value most accurately represents the devices and experimental conditions used in this work, it was used in all calculations and simulations involving  $\beta_2$ .

### II.3 Illustrative Calculations Using the Simple TPA Model

Fig. II.6 is a contour plot showing the generated carrier density as a result of the two photon absorption process in silicon. It was generated by solving (II.9) using (II.8), (II.3), and (II.1). Therefore, it is a graphical representation of the carrier generation process described by the simple TPA model. In the figure, the white regions correspond to areas where the generated carrier density is less than  $10^{15} \text{ cm}^{-3}$ . The white dashed lines represent the longitudinal dependence of the beam radius. As is expected, it follows the profile of a Gaussian beam. Fig.II.6 reveals a carrier distribution that is expected from the phenomenological description of the TPA process. That is, the peak carrier density occurs where the beam is at its tightest focus (around the focal plane), and the longitudinal carrier concentration falls off rapidly away from the peak value. The horizontal carrier concentration is tightly confined within the boundary governed by  $w(z)$ . Since Fig.II.6 is produced using the simple TPA model, it ignores the higher order optical processes mentioned earlier. If these processes were considered, the quantitative values for carrier concentration would change. However, the *shape* of the profile shown in Fig.II.6 would not change dramatically.

Fig.II.7 shows how the generated carrier concentration of Fig.II.6 can change as a function of beam waist size and incident laser pulse energy. The plotted lines represent longitudinal cutlines through the center of Fig.II.6. Therefore, the x-axis in Fig.II.7 corresponds to the y-axis in Fig.II.6. The solids lines are for a  $1.4 \mu\text{m}$  waist diameter, while the dashed lines correspond to a beam waist diameter of  $7.0 \mu\text{m}$ . Two arbitrarily chosen laser pulse energies are shown for each beam waist size. As can be seen in the figure, the peak generated carrier concentration, and how tightly that peak is centered around the focal plane of the laser, is strongly dependent on the diameter of the beam waist. For the smaller waist, the peak carrier concentration is approximately two orders of magnitude greater than that of the larger waist size at the same energy. This is because the smaller waist (and the corresponding narrower beam profile) confines the photons making up the laser pulse to a much smaller area, increasing the probability that two photons will be simultaneously absorbed in the vicinity of the focal plane. The smaller waist size also leads to a smaller confocal parameter (see (II.2)), which determines how well the beam is collimated in the vicinity of the peak value. As the beam waist gets larger, the beam becomes more well collimated over a larger distance, which decreases the peak generated carrier density while increasing the carrier density away from the peak value. This effectively makes the generated carrier density appear to be a column of charge over



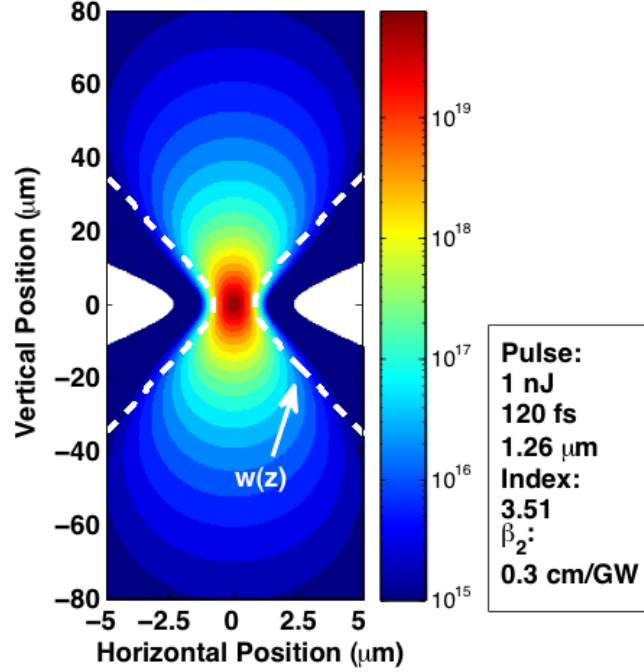


Figure II.6: Electron-hole density plot for the two-photon excitation process in silicon as a function of depth ( $z$ ), plotted in electron-hole pairs per  $\text{cm}^3$ . The dashed lines, labeled  $w(z)$ , represent the longitudinal dependance of the beam waist. The beam radius at the waist used in the calculation was  $0.7 \mu\text{m}$ . Other relevant laser and material parameters are included in the figure legend.

a significant distance surrounding the peak value. This will be explored through a discussion of experimental results in the chapters that follow.

For the 30 nJ pulse energy, both cutlines show a significant carrier concentration far away from the peak value. For the  $7.0 \mu\text{m}$  waist (top dashed line), the carrier concentration is as high as  $10^{18} \text{ cm}^{-3}$  as far as  $300 \mu\text{m}$  from the peak value of the TPA region. While the carrier density far away from the peak is not as significant for the smaller beam waist, it is approximately  $10^{16} \text{ cm}^{-3}$ , which is higher than background device well/substrate dopings in some technologies. Previous works ([2, 6, 7]) have focused on the portion of the TPA region directly surrounding the peak carrier density. Very little mention has been made in the literature of the carrier density far from the peak. Fig. II.7 shows that at high laser pulse energies and large beam waist diameters, the carrier concentration far away from the peak could present an issue for TPA SEE testing. This is discussed in greater detail in the chapters that follow.

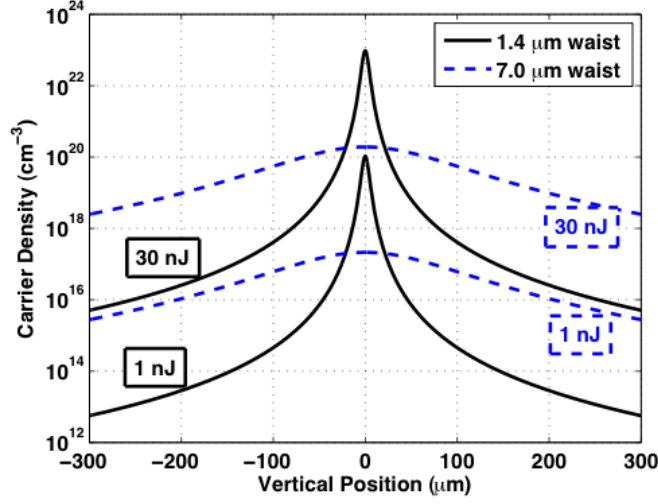


Figure II.7: Generated carrier density due to TPA processes in Si using the simple TPA model. Plotted are carrier densities along longitudinal cutlines through the center of the plot shown in Fig. II.6. The x-axis in the figure corresponds to the y-axis in Fig. II.6. Carrier densities for two beam waist diameters and two energies are shown.

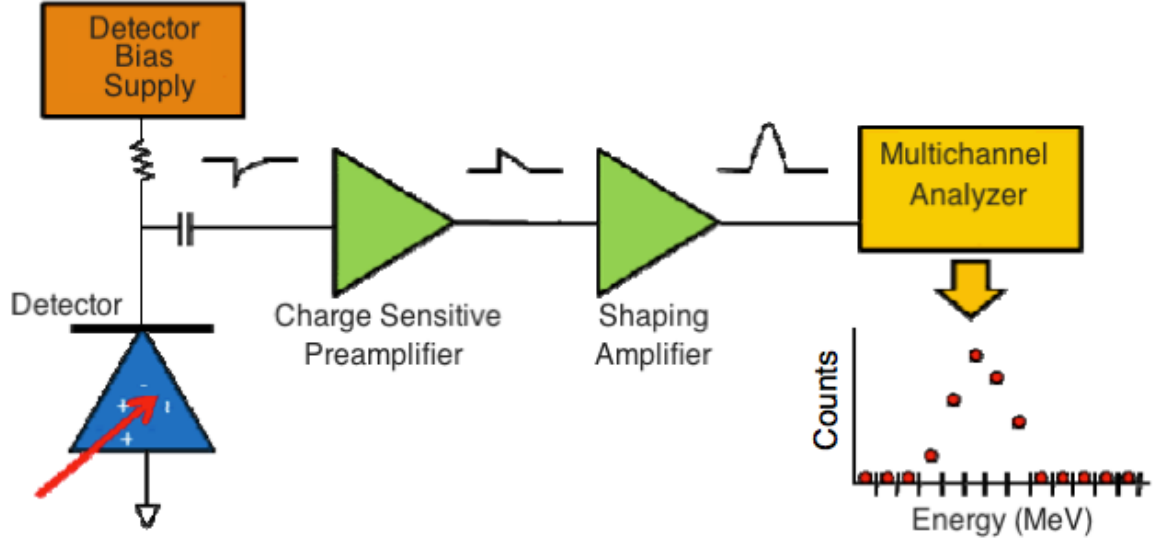


Figure II.8: A schematic of the PHA system used in this work. A pulse is generated in a reverse-biased silicon diode (detector) by photon absorption. It then propagates through the system, resulting in an output pulse whose amplitude corresponds to the total charge collected in the diode and whose shape is Gaussian. The pulse heights are then binned according to their energy to produce a pulse height spectrum, which can be calibrated in terms of energy or charge. Adapted from [24].

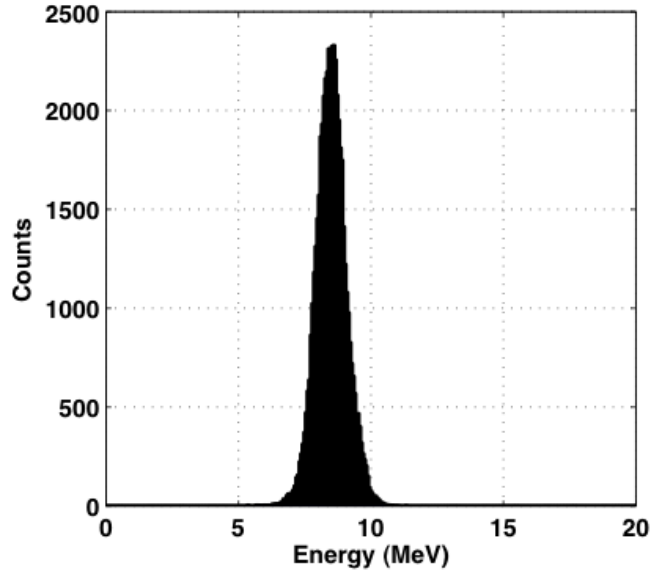


Figure II.9: A representative pulse height spectrum. The x-axis has been calibrated in terms of MeV by using the  $\alpha$  emission of Am-241. The y-axis represents the number of pulse amplitudes recorded for each energy on the x-axis.

#### II.4 Pulse Height Analysis

All of the charge collection measurements in this work were made using pulse height analysis (PHA) techniques. PHA is a technique developed many years ago within the radiation spectroscopy community [25]. However, it is a common experimental method within the radiation effects field as well [24, 26]. In PHA, the charge collected in a detector as a result of an ionizing particle strike is passed through a series of amplifiers to produce an output pulse whose height is proportional to the total charge collected by the detector. In this work, the detector was a reverse-biased silicon diode. Reverse biasing the diode ensures that nearly 100% of the charge generated within its sensitive volume will be swept to the contacts in the presence of the applied electric field. While PHA is typically performed using heavy ions, protons, etc, the same explanation applies when the incident particles are photons generated by a laser.

Following a particle strike, the charge collected by the reverse-biased diode is passed to the input of a charge sensitive preamplifier, which integrates this pulse over time to produce a pulse whose height is representative of the amount of collected charge. This pulse is then passed to a shaping amplifier, which amplifies the pulse and shapes it to be approximately Gaussian. The shaped, amplified pulse is passed on to a multichannel analyzer (MCA) where it is digitized by

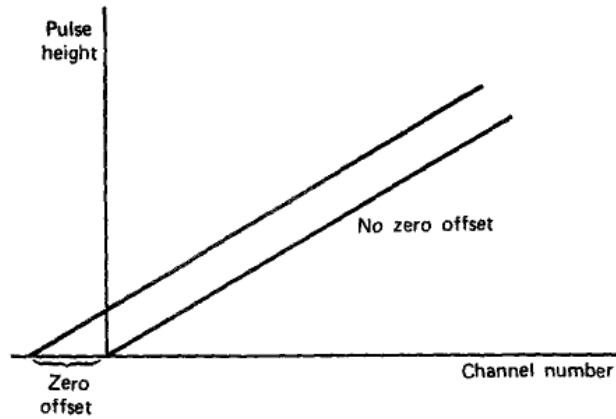


Figure II.10: A calibration plot for a typical MCA. Knowing the value of the zero offset is necessary to accurately calibrate the pulse height spectrum in terms of energy. After [25].

means of an analog-to-digital converter and histogrammed according to its height into one of a possible 1024 bins. Once a series of pulses representing charge collection events are individually shaped, amplified, and histogrammed, a pulse height spectrum results. A representative pulse height spectrum is shown in Fig. II.9. Once the PHA system is calibrated (see below), the pulse height spectrum can be interpreted in terms of collected charge.

For this work, rather than generating a pulse height spectrum for each measurement using the MCA, the voltage pulses produced by the shaping amplifier were collected with an oscilloscope. The amplitudes of these pulses are directly proportional to the amount of charge collected by the DUT. These amplitudes were binned during post processing using a binning scheme identical to that of the MCA.

A standard two point calibration method was used to interpret the amplitudes of processed pulses in terms of energy [25]. Because the MCA in the PHA system is highly linear over its entire range, the energy of only two bins anywhere along the spectrum need to be known in order to determine the approximate energy of all of the other bins in the spectrum. The first point of the calibration was determined by using an Am-241 radionuclide source. Am-241 primarily emits 5.4 MeV  $\alpha$  particles. To measure the pulse height spectrum for the Am-241 source, a silicon surface barrier detector (SBD) was used in conjunction with the PHA system. The SBD was of sufficient thickness that the  $\alpha$  particles were completely stopped within it, thus depositing their total energy. Under reverse bias conditions, all of the charge deposited in the SBD by the incident  $\alpha$  particles is

collected. The collected charge is passes through the PHA system, ultimately resulting in a pulse height spectrum once the system is allowed to process multiple pulses from the Am-241 source. The centroid value of the resulting PHA spectrum is taken to be the mean energy of the incident  $\alpha$  particles ( $\approx 5.4$  MeV for Am-241).

Due to the nature of the MCA in the PHA system, oftentimes the zero energy bin is not the zeroth bin of the pulse height spectrum, but rather some bin close to it. This is known as the zero offset of the MCA and is shown graphically in Fig.II.10. A pulser can be used to determine the zero offset for a specific set of PHA system gain settings. By adjusting the magnitude of the pulses injected into the PHA system by the pulser, a pulse height spectrum can be created that has multiple peaks. By applying a linear fit to the centroid values of the peaks in the resulting pulse height spectrum and extrapolating back to find the x-intercept of the linear fit, the actual zero energy bin (or zero offset) can be found. This point can then be used as the second point of the two-point calibration. By fitting a line between the two known energy bins (the bin corresponding to the centroid of the Am-241 spectrum and the true zero energy bin), the energy of the other bins can be calculated. This type of calibration might not be suitable for an application where the energy must be known to a high degree of accuracy, but it is sufficient for this work. For silicon, energy deposited generates charge with a known conversion factor of 22.5 keV/fC [27]. Therefore, once the pulse height spectrum is calibrated in terms of MeV using the silicon SBD, it is a trivial matter to calibrate it in terms of coulombs of charge as well.

## CHAPTER III

### Experimental Test Methods and Design

#### III.1 Test Structures

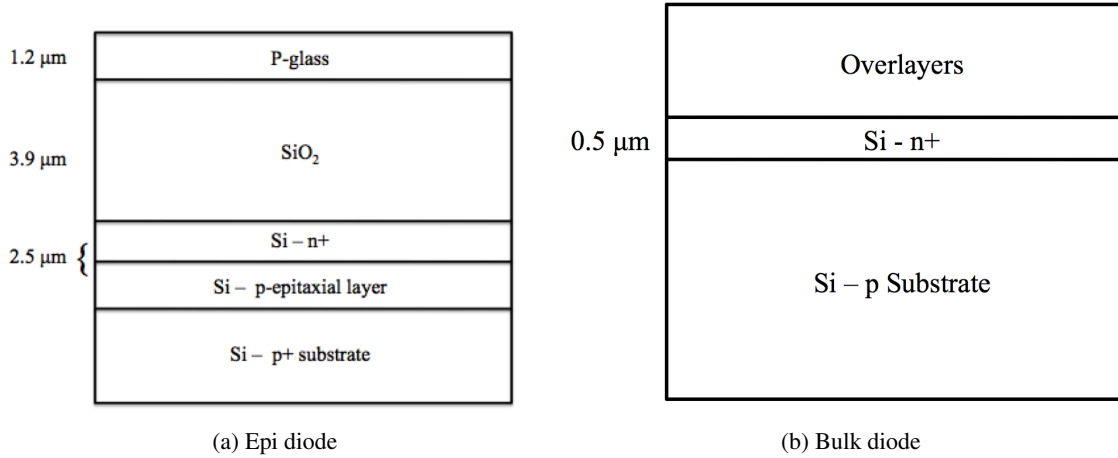


Figure III.1: Cross sections of the two bulk silicon diodes used in this work. The device shown in (a) was fabricated by Sandia National Laboratories in a 0.25  $\mu\text{m}$  process. The device shown in (b) was fabricated in a 45 nm foundry process.

The test structures used in this work were two bulk silicon diodes. Cross sections of each diode are shown in Fig. III.1. The Sandia National Laboratories (SNL) diode has been the focus of study in other work [26]. It has a heavily doped n-type diffusion, over a lightly doped p-epitaxial layer, over a heavily doped p-type substrate. Its overlayers are as labeled in the figure, and no metal is present over the majority of the active silicon. Because of this and its 800 x 300  $\mu\text{m}$  active area, it was possible to expose the active area of the diode to photons from the topside, through the SiO<sub>2</sub> and p-glass overlayers, and not have to account for the effects of light shadowing due to metals in the overlayers. The SNL diode will be referred to as the "epi diode" from this point on.

The 45 nm Foundry Diode has a heavily doped n-type diffusion over a lightly doped p-type substrate. Its structure is similar to that of a well-substrate junction. It is also a large area diode, having a width of 160  $\mu\text{m}$  and a length of 780  $\mu\text{m}$ . The area covered by the overlayers of the 45 nm diode consists of the minimum amount of metallization as dictated by the design rules of the

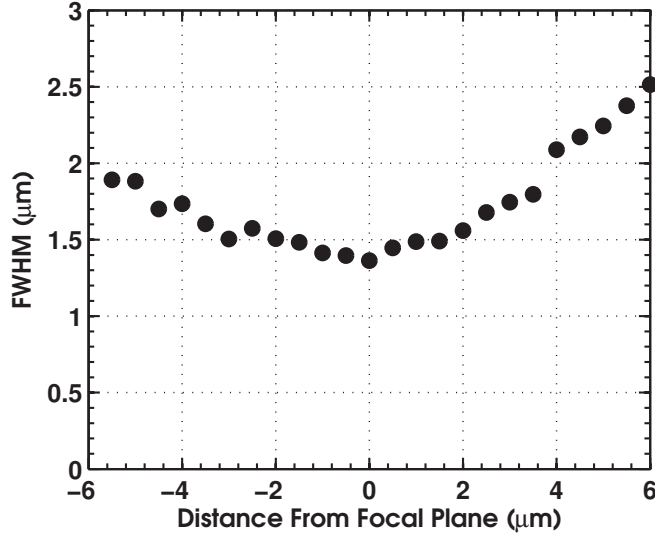


Figure III.2: Measurement of the beam profile as a function of distance from the focal plane. All measurements were made using the knife-edge technique, in which a razor blade is translated across the transverse axis of the beam.

process. For this particular diode, that means that approximately 25% of the surface of the active area was covered by metallization. Because of this, the diode was illuminated from the backside, which was polished, during all laser exposures. From this point on, the 45 nm diode will be referred to as the "bulk diode".

### III.2 Experiment Setup and Laser Specifics

All laser exposures were performed at the Naval Research Laboratory. The laser is a Ti: Sapphire pumped OPA operating at a subbandgap wavelength of  $1.26 \mu\text{m}$ . It has a nominal pulse width of 120 fs. A large area InGaAs photodiode in the beamline monitors the laser pulse energy. A waveplate-polarizer combination was used to precisely control the laser pulse energy at the DUT. The DUT was imaged using using a CCD camera in the beam line. All measurements were made using a 1 kHz laser pulse rate. This setup has been used in SEE studies many times since it was first presented to the radiation effects community in 2002 [2, 6–9]. The interested reader is referred to those studies for more detailed information about the specifics of the setup.

The laser spot was focused approximately in the center of the active area of each device through either a 20x or a 100x microscope objective. Changing the magnification of the objective has a corresponding effect on the beam diameter at the waist for the laser. The beam diameter at the

waist using the 100x objective was  $1.4\text{ }\mu\text{m}$ , which was confirmed by performing a knife-edge test on the beam profile. In the knife-edge technique, the beam intensity is measured as a razor blade is translated across the beam axis. The full-width at half maximum (FWHM) of the resulting beam profile can be used to determine the beam diameter at the waist. Fig.III.2 plots several of these FWHM values as a function of distance from the focal plane of the beam for the 100x objective. The figure confirms a beam diameter at the waist of approximately  $1.4\text{ }\mu\text{m}$ . For the 20x objective, the beam diameter at the waist is approximately  $7.0\text{ }\mu\text{m}$ .

All charge collection measurements were made using the pulse height analysis techniques described above. Components of the PHA system include an Ortec 142A preamplifier, Ortec 671 Linear Gain Amplifier, and an AMPTEK Pocket MCA 8000A. For this study, the Gaussian output pulses produced by the Ortec 671 were run directly into the input of a Tektronix TDS6124C Digital Storage Oscilloscope, where they were stored for post-processing. The Pocket MCA 8000A was used periodically to calibrate the pulses on the oscilloscope.

Motion of each DUT was carried out using a Newport GTS30V High-Precision Vertical Linear Stage. The motion of the stage was verified with a dial gauge. The on-axis accuracy of the stage was found to be within the manufacturer's specification of  $\pm 0.75\text{ }\mu\text{m}$ .

Each DUT was reverse-biased for the duration of each charge collection measurement. The applied reverse bias voltage was 5 V for both diode types. The bias was applied using a Keithley 2410 SourceMeter, which allowed for the simultaneous measurement of reverse-bias leakage currents. The leakage currents were less than 10 nA for both diode types for all measurements.

### III.3 Measurement Procedure

A goal of the experimental work was to produce a series of measurements of collected charge in a diode as a function of the focal plane position of the laser in the diode. The plot on the right for Fig. III.3 shows a representative data set. The plot shows collected charge as a function of focal plane position in one of the diodes. The specifics of this plot will be discussed later. Presently, it is only important to understand how the plot on the right relates to the diode cross section on the left. That can be understood through a discussion of the measurement procedure, which is broken down into chronological steps below.

Step 1: *Define a zero point for the measurement.*



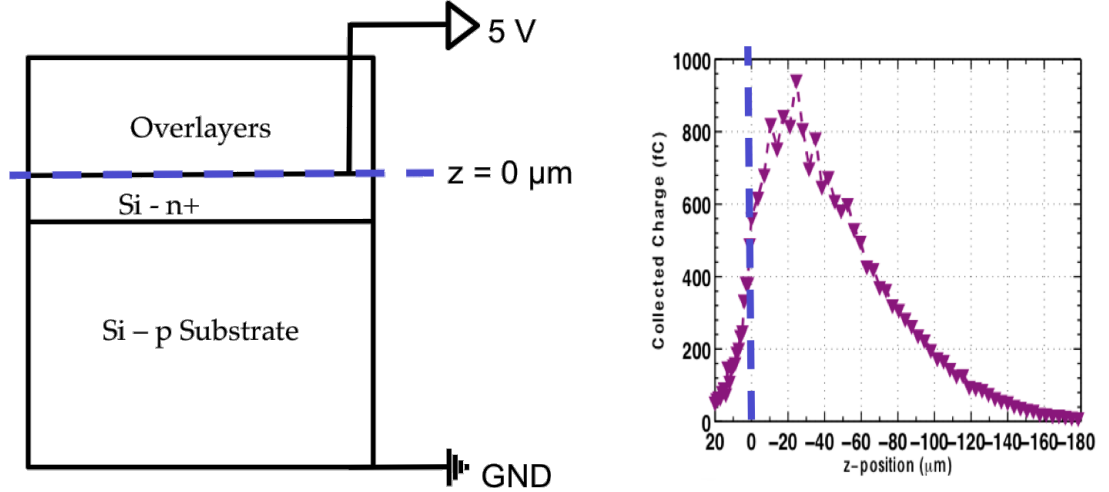


Figure III.3: Diagram of the first step of the measurement procedure. The dashed line represents the "zero point" for the measurement. The plot on the right is a representative data set used to show how the zero point in the cross section on the left relates to the data.

Since multiple laser pulse energies were used to test both diodes, it was essential that an absolute reference for position be developed for each device. This was done by focusing the laser spot on a device interface. To do this, the normal-incidence laser spot was focused to its smallest size and brightest intensity on the interface of interest visually by observing the spot using a CCD camera in the beam line. This point is referred to as the "zero point" from here on. In all of the following plots that show collected charge as a function of z-position, 0 on the x-axis represents this zero point. This point was held constant on a device basis, meaning that for the epi diode for example, once a zero point was chosen, it was used as the zero point for all further measurements on that device. Therefore, the zero point represents an absolute positional reference for each tested device at each tested pulse energy. In Fig.III.3, the zero point is represented graphically with a dashed line. For both diode types, the zero point was defined to be the interface between the active silicon and the overlayer material. Differences in the index of refraction of silicon and the overlayer material made focusing the laser at these interfaces possible. An example of this can be seen in Fig.III.4, which shows the laser spot focused on the  $\text{SiO}_2/\text{Si}$  interface for the epi diode.

Because the laser spot was focused visually, it is possible that there is a small amount of error between what is called the zero point and where the interface boundary actually

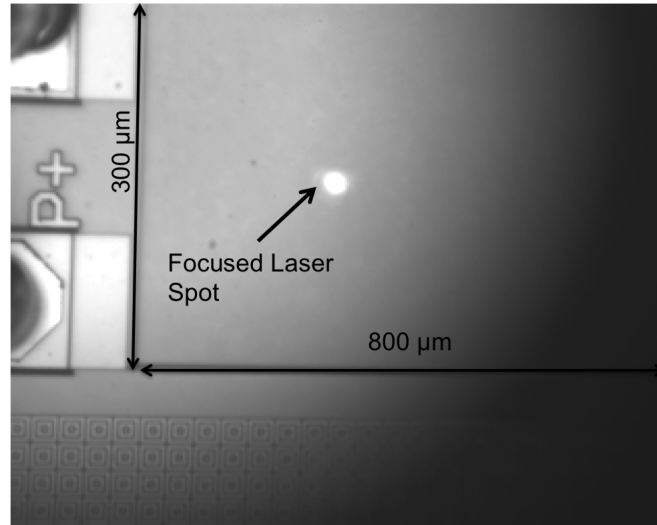


Figure III.4: The laser spot visually focused at the silicon dioxide/silicon interface for the epi diode, as imaged with a CCD camera in the beamline.

occurs. The criterion for determining that the laser spot was focused on the interface of interest was that the spot was at its smallest diameter and brightest visual intensity. This corresponds to the brightest reflection due to the change in the index of refraction as the laser beam is translated down through the overlayers and into the device active region. However, because the spot was focused visually, it was difficult to determine changes in the spot size over distances smaller than about a micrometer, which means the error between the zero point and the actual  $\text{SiO}_2/\text{Si}$  interface could be as great as a micrometer. However, this would not have a dramatic impact on the final representation of the data (the plot in the right of Fig.III.3), save shifting the curve a small amount to the left or right. Also, because the same zero point was used for each tested energy, all plotted curves for a single device would be affected in the same way.

*Step 2: Define a range around the surface.*

Once the zero point was determined for a particular device, a large range around the zero point was defined. This range typically encompassed several hundred microns on each side of the zero point.

*Step 3: Move the focal plane of the laser through the range in small steps.*

After determining the zero point and defining a range of interest around the zero point, the focal plane of the laser was placed on one of the boundaries of the range and moved through it in small steps. The step size varied depending on which device was being tested and the beam waist size used for the measurement. Typically, steps were on the order of 0.5-1.0  $\mu\text{m}$ . Looking at the representative plot in Fig. III.3, the data points to the right of the zero point are separated by more than 0.5-1.0  $\mu\text{m}$ . Because the index of refraction of silicon is 3.5 times that of air, the laser in the silicon actually moves 3.5 times farther than the step taken by the linear stage on which the DUT is mounted. Therefore, a 1.0  $\mu\text{m}$  step taken by the stage results in a 3.5  $\mu\text{m}$  step being taken by the portion of the beam within the silicon.

*Step 4: Make a charge collection measurement at each step.*

At each step, a charge collection measurement was made using the PHA methods discussed earlier. Specifically, at each step, 300 pulses from the linear gain amplifier of the PHA system were captured using a TDS6124C Digital Storage Oscilloscope and written out to a file for processing later. The amplitudes of these pulses (which are proportional to the amount of charge collected in the diode) were averaged at each position to produce a mean collected charge at each position. For each output pulse recorded, a corresponding pulse from the InGaAs photodiode was also recorded to keep a record of the laser pulse energy. These pulses were averaged for the entire run. The reported laser pulse energies appearing in the legends of the figures that follow are these averaged laser pulse energies. The standard statistical error of each of the reported pulse energies is less than 5%.

*Step 5: Create a plot of collected charge as a function of focal plane position.*

The resulting data are plotted as collected charge as a function of laser pulse energy and focal plane position in the DUT. The value on the y-axis represents the mean collected charge at each position. Because the laser beam was normal to the surface of each tested device, the position on the x-axis is referred to as the z-position; corresponding to movement along the z-axis of the DUT. This assignment is arbitrary, but worth mentioning to avoid confusion in the interpretation of the data. For all plots, values to the right of zero represent moving the focal plane of the beam deeper into the device substrate. For the sake of convenience, data plotted in this manner (collected charge versus z-position) will be referred to as

*charge collection profiles* for the remainder of this work.

## CHAPTER IV

### Impact of Beam Waist Diameter on Collected Charge

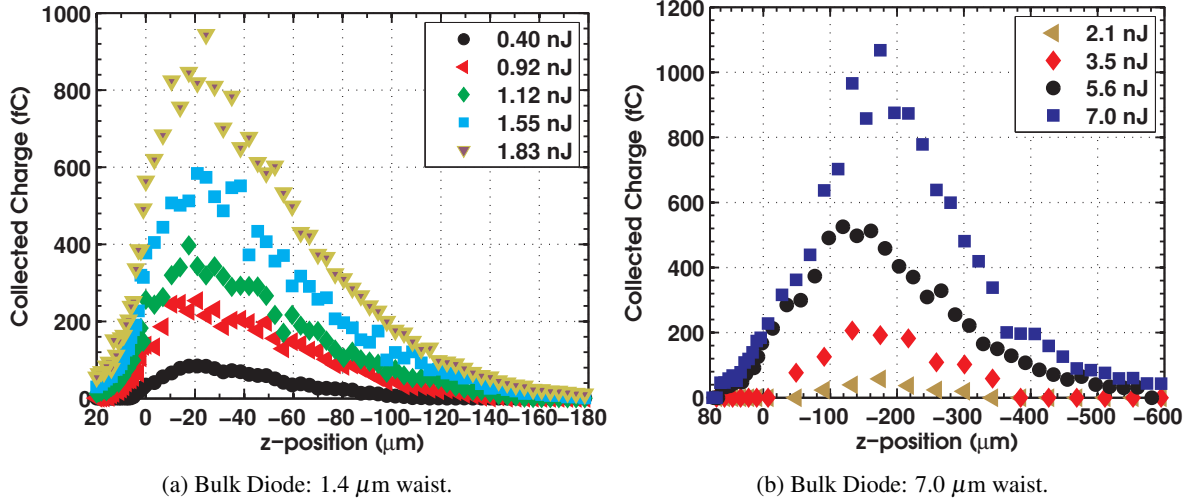


Figure IV.1: Collected charge as a function of focal plane position and laser pulse energy for two different beam waist diameters. Values to the right of zero on the x-axes represent moving the focal plane deeper into the substrates of the devices.

By changing the size of the focusing objective in the beamline, it was possible to investigate the relationship between beam waist size and collected charge for the devices tested. (II.1) - (II.3) show that critical properties of the beam are strongly dependent on the beam diameter at the waist. Earlier calculations concerning the dependance of the TPA region on the beam waist diameter (see Fig. II.7) show that the size of the beam waist diameter can have a dramatic impact on both the peak carrier density and the vertical extent of the TPA region. However, because the TPA model presented here neglects the higher order effects imposed by the optical processes involved in TPA-induced carrier generation, making an attempt to verify the prediction of Fig. II.7, at least qualitatively, through experimental measurements can provide valuable insight into the characteristics of the TPA region inside an actual device.

Fig.IV.1 shows collected charge as a function of focal plane position for the bulk diode for two different beam waist diameters. The laser pulse energies used for each run are noted in the plot legends. It is apparent that the widths of the charge collection profiles are much greater for the 7.0

$\mu\text{m}$  waist diameter. This is due to the confocal parameter of the beam as a result of the beam waist diameter. The size of the confocal parameter of the beam depends on the beam waist diameter (see (II.2)). The confocal parameter is a measure of the distance over which the beam is well collimated. For the  $1.4\ \mu\text{m}$  waist diameter, the confocal parameter is approximately  $9\ \mu\text{m}$ . Because the confocal parameter changes as a function of the beam waist diameter squared, the confocal parameter for the  $7.0\ \mu\text{m}$  beam waist is approximately  $215\ \mu\text{m}$ . Beyond the range of the confocal parameter, the Gaussian beam profile starts to diverge rapidly, which significantly decreases the probability of simultaneous photon absorption. Going back to the discussion of Section II.3, the larger confocal parameter does indeed mean that for larger beam waist diameters, the TPA region becomes more like a wide column of charge in the device, rather than a highly focused point-like carrier distribution. Because of this, it is possible for charge to be collected a significant distance away from the focal plane of the laser. For the bulk diode shown in Fig.IV.1b, this trend is apparent.

While both plots in Fig. IV.1 show approximately the same amount of peak collected charge for the highest laser pulse energy, the laser pulse energies themselves are quite different. For the  $1.4\ \mu\text{m}$  waist, the laser pulse required to achieve the peak collected charge ( $1.83\ \text{nJ}$ ) is significantly less than the pulse energy required to achieve a similar amount of charge collection with a  $7.0\ \mu\text{m}$  waist diameter. This difference is even more striking when we recall that the total TPA-induced carrier generation is proportional to the laser pulse energy squared. (II.3) describes the irradiance of the beam in terms of the pulse power, radial distance from the z-axis, and, most relevant to this discussion, the beam diameter. According to (II.3), for a  $7.0\ \mu\text{m}$  beam waist, the laser pulse irradiance would be significantly less than that for a  $1.4\ \mu\text{m}$  beam waist. Because the irradiance is a significant component of the TPA-induced carrier generation, described for the simple TPA model by (II.6), a lower pulse irradiance due to a larger beam waist would lead to a lower peak carrier density within the TPA region. So, for the same laser pulse energy at two different beam waist diameters, the wider beam waist will lead to a lower carrier density, and thus a lower peak collected charge.

There is also a significant difference in where the peak charge collection occurs for each beam waist diameter. For the  $1.4\ \mu\text{m}$  waist, the peak collected charge occurs approximately  $20\ \mu\text{m}$  below the zero point. For the  $7.0\ \mu\text{m}$  waist, the peak collected charge occurs much deeper in the device; at approximately  $150\ \mu\text{m}$  away from the zero point. This is due to the vertical extent of the TPA region

for each beam waist size. The larger waist diameter leads to a much larger confocal parameter, and thus a much larger TPA region. When the focal plane is at the zero point, a significant amount of the carrier-generating region of the beam is contained in the overlayer material and the air above the device. However, as the focal plane is moved deeper into the device substrate, more and more of the carrier generating portion of the beam is moved into the device, resulting in increased carrier generation and, thus, increased charge collection. This continues until the focal plane is so far from the junction that the generated carriers from the high-density region around the focal plane of the beam are too far away from the junction to be collected efficiently. A similar trend is seen in Fig.IV.1a, however the charge peaks much closer to the zero point. This is because the TPA region for the smaller waist diameter is much more tightly confined to the area around the focal plane of the beam. This is a trend that will be discussed in more detail in the following chapter.

## CHAPTER V

### Laser Pulse Energy Trends

#### V.1 The Pulse Energy Dependence of the Charge Collection Profiles

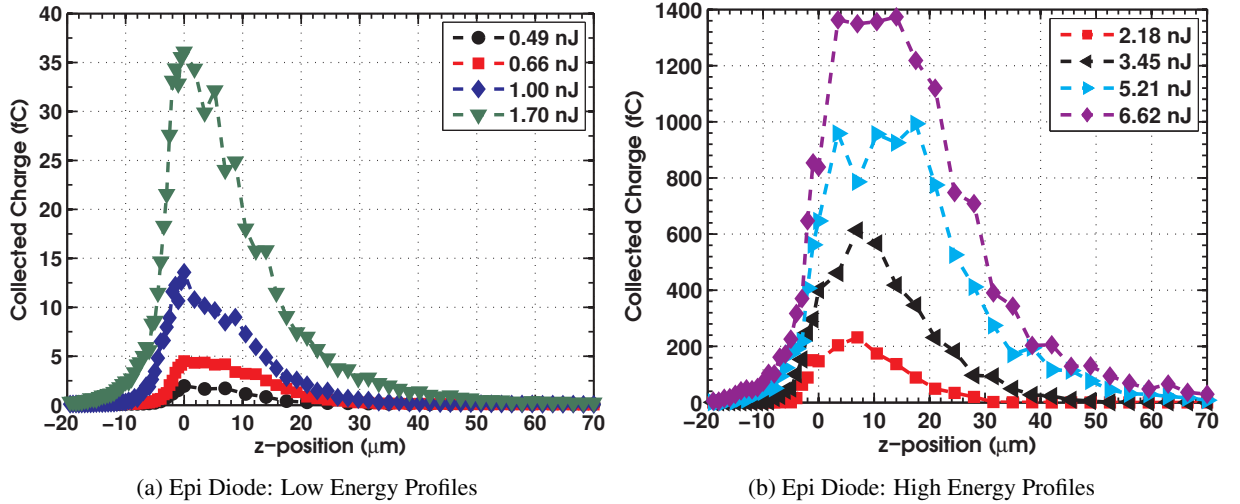


Figure V.1: Collected charge as a function of laser pulse energy for the epi diode using a  $1.4 \mu\text{m}$  beam waist diameter. Values to the right of the zero point correspond to the focal plane being inside the diode, while values to the left of zero correspond to the focal plane being outside the diode. Similar data for the bulk device is shown in Fig.IV.1.

Fig.V.1 shows charge collection profiles using the  $1.4 \mu\text{m}$  waist diameter beam on the epi diode. An arbitrary distinction has been made between low and high laser pulse energies to make discussion of the plots more tractable. It should be noted, however, that what constitutes a low or high laser pulse energy depends more on the device being tested than the actual numerical value of the pulse energy. Effects such as shadowing of the active silicon by metal present in device overlayers, small charge collection volumes, and others can have a significant impact on how much of the laser pulse energy actually reaches the sensitive region of a device.

One trend present in Fig.V.1 is that for the low energy runs, the collected charge peaks at the zero point defined for the device (the  $\text{SiO}_2/\text{Si}$  interface), while for the higher energy runs, the peak collected charge shifts off the surface by several micrometers. The reason for this shift at higher energies is due to the TPA region itself. At the  $1.4 \mu\text{m}$  waist diameter, the majority of the carriers



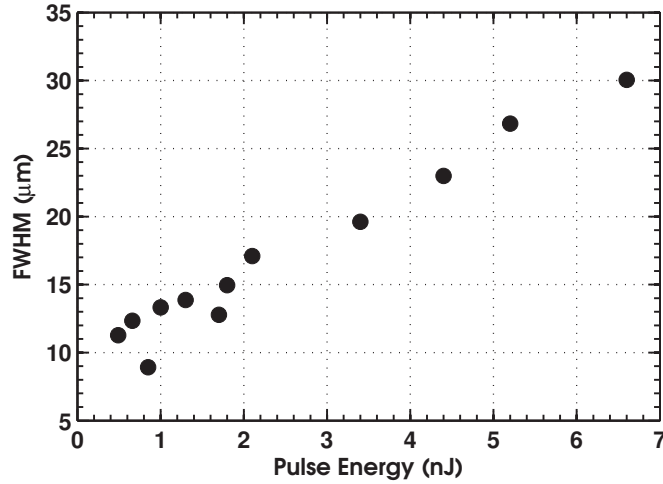


Figure V.2: The FWHM values of the charge collection profiles shown in Fig.V.1 for the epi diode as a function of increasing laser pulse energy. The increasing FWHM shows that, as the laser pulse energy increases, the carrier density in the wings of the TPA region is able to contribute to measurable charge collection, even when the focal plane of the beam is deep in the device substrate.

within the TPA region are confined to the region surrounding the focal plane. However, as the laser pulse energy increases, the carrier density of the entire TPA region increases as well. Therefore, not only is the peak carrier density increasing, but also the carrier density away from the focal plane of the beam. This is illustrated graphically in Fig. II.7. Though the pulse energy shown in that figure is arbitrary, it does illustrate the trend that for increasing laser pulse energies, the "wings" of the TPA region can contain a significant amount of carriers. This means that measurable amounts of collected charge will be observed at greater distances from the focal plane of the beam. Figs. IV.1 and V.1 confirm this. For increasing laser pulse energies, the FWHM values of the charge collection profiles increase. This increase is shown graphically in Fig.V.2. The figure shows that the charge collection profiles for higher laser pulse energies are wider than those for lower laser pulse energies. This confirms that the carrier density in the wings of the TPA region can lead to measurable charge collection, even when the high density portion of the charge cloud surrounding the focal plane is well into the device substrate. This relates directly to the shift in peak collected charge shown in Fig. V.1 for the high energy runs. As the pulse energy increases, the carrier density in the wings of the TPA region becomes more significant. When the focal plane of the beam is at the zero point, a portion of the beam capable of generating carriers is outside of the device. However, similar to the discussion in the previous chapter concerning the  $7.0 \mu\text{m}$  beam waist, as the focal

plane moves deeper in the device, the portion of the beam that was previously outside the silicon enters the active region of the device and is capable of generating carriers that are collected by the device. Eventually, as the laser pulse energy increases to a point where the carrier density in the wings of the TPA region becomes significant, the peak collected charge will shift away from the zero point (which is essentially the junction of the device).

Comparing the charge collection profiles of the bulk diode to those of the epi diode shows a large discrepancy between the amount of charge collected in each device for similar laser pulse energies. For example, Fig.IV.1a shows a peak collected charge of approximately 600 fC for a 1.55 nJ laser pulse. However, for the epi diode, a similar pulse energy of 1.70 nJ leads to a peak collected charge of only 35 fC. Charge collection trends for epi versus bulk devices have been reported in the literature many times for ion-induced charge collection [28, 29]. The presence of the highly doped substrate in an epi device serves to truncate overall charge collection. For the epi diode, shifts in the peak collected charge values are not seen until the 2.18 nJ run shown in Fig.V.1b, while, for the bulk diode, peak shifts are seen at all tested laser pulse energies. The difference in substrate doping is a contributing factor to the differences between the charge collection profiles for these devices.

For the two highest laser pulse energies for the epi diode, the charge collection profiles do not have the well defined peaks present in all of the other charge collection profiles for the epi diode or the bulk diode. In fact, for the 5.21 nJ and 6.62 nJ laser pulse energies, the charge collection profiles flatten out considerably for a range of 15-20  $\mu\text{m}$  from the zero-point. This not only violates the analysis of (II.7), but suggests that for significantly high laser pulse energies, a region of constant charge collection efficiency is formed in the device. No experimental work has been reported that would verify this claim; however, a theoretical basis for this phenomenon has been discussed at length by Edmonds [30–33]. According to that work, a point source of charge generation (to which TPA is a reasonable approximation for small beam waist diameters) can produce a region of constant charge collection efficiency in a silicon diode at sufficiently high generation rates. The focus of the work of [31, 32] is on the development of an analytical model, called the Ambipolar Diffusion with Cutoff (ADC) model, to describe high injection conditions in devices. While a full treatment of the ADC model is well outside the scope of this work, these data will be included in a future work seeking to experimentally verify the ADC model.

Fig.V.3 shows the peak collected charge as a function of laser pulse energy squared for both

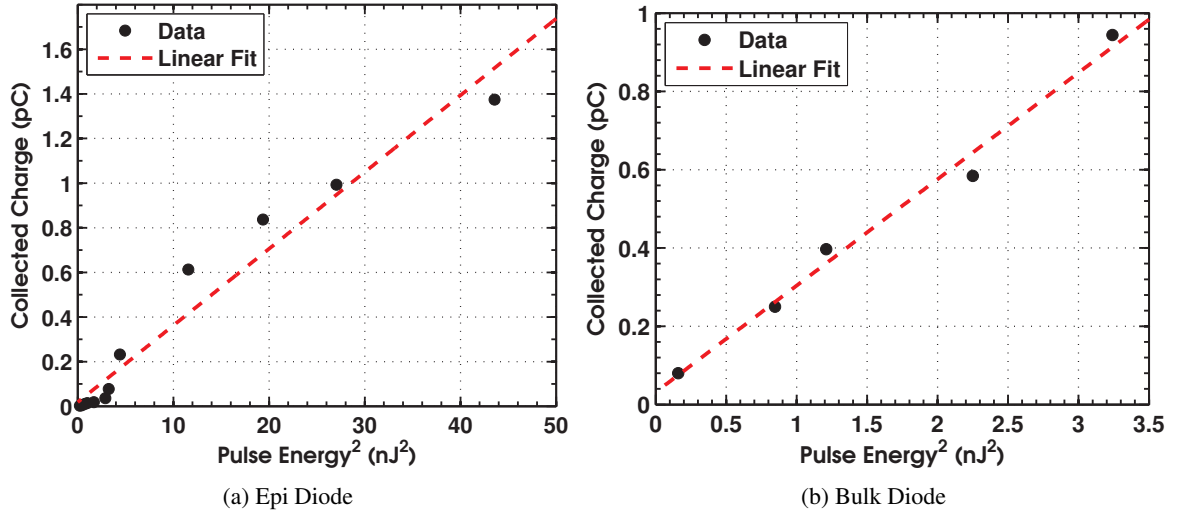


Figure V.3: Peak collected charge as a function of laser pulse energy squared for the plots shown in Fig.IV.1a and Fig.V.1. The pulse energy is squared to account for the dependence of the TPA-induced carrier density on laser pulse energy shown in (II.7).

diodes. The pulse energy is squared due to the square dependence the TPA-induced carrier density has on laser pulse energy (see II.7). Squaring the pulse energy means the peak collected charge should follow a linear trend. The dashed lines shown in Fig.V.3 represent a linear fit to the peak collected charge values (black circles). For each fit, the  $R^2$  value was greater than 0.95, which shows that the collected charge does indeed increase linearly as a function of the laser pulse energy squared, as predicted by (II.7). While the fit is quite good for the bulk diode shown in Fig.V.3b, the fit for the epi diode does show more variation from the trend line at the highest and lowest energies. The over-prediction of the linear fit at the highest energy is due to the flattening out of the highest energy charge collection profile. As was stated earlier, this is due to effects that are real, but outside the scope of this work. It is unclear what is causing the linear fit to over-predict at the lowest laser pulse energies for the epi diode. It is more than likely due to higher order optical effect that the TPA model presented in this work does not take into account.

## V.2 Comparison to Heavy Ion-induced Charge Collection

Because the ultimate goal of SEE laser testing is to gain insight into how a given device or circuit would perform in a particle radiation environment, the comparison of heavy ion-induced collected

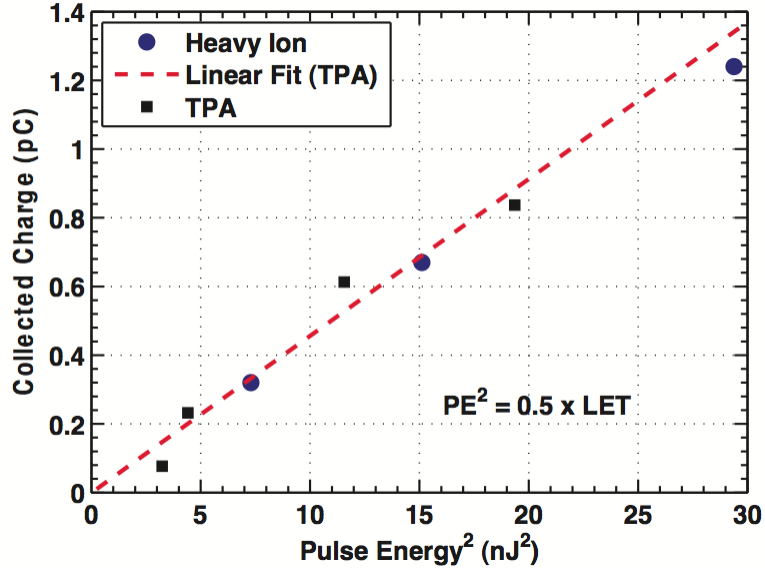


Figure V.4: Comparison between heavy ion and TPA-induced charge collection for the epi diode. The relationship between the square of the laser pulse energy and the heavy ion LET was determined empirically.

charge to TPA-induced collected charge is of great interest to the radiation effects community ([34] is one example). In an attempt to investigate a correlation between the two different charge creation mechanisms, the epi diode was irradiated with heavy ions at Lawrence Berkeley National Laboratory's (LBNL) 88" cyclotron facility. Similar measurement techniques were used for the heavy ion tests as were used for the TPA laser tests. Specifically, the same PHA system was used to determine the charge collected in the reverse-biased epi diode during broad beam irradiation using ions from LBNL's 10 MeV/u cocktail. For all irradiations, a 5 V reverse bias was applied to the diode.

Fig.V.4 shows collected charge as a function of laser pulse energy squared for four of the epi charge collection profiles. The circles in the figure represent the collected charge due to the heavy ion irradiations at LBNL. To compare the heavy ion LET to the laser pulse energy, the empirical relationship  $PE^2 = 0.5 \times LET$  was chosen so the data could be plotted on the same axis. For this device, in this energy range, the laser pulse energy and the heavy ion LET are directly related by a factor of 2. While this would be a convenient factor to apply to all TPA laser measurements to determine the equivalent heavy ion LET, doing so would be, at best, a wild approximation. These data are shown only to emphasize that the pursuit of such a comparison could be feasible. However, until a wider range of heavy ion LETs and devices are investigated with this sort of comparison

in mind, this factor of 2 relationship between LET and laser pulse energy should be approached with caution. However, because of the significance of such a relationship would have for future SEE testing, it is worthwhile that these results, despite their preliminary nature, be shown here. A detailed study of the relationship between heavy ion LET and TPA laser pulse energy in simple test structures is the planned focus of future work.

## CHAPTER VI

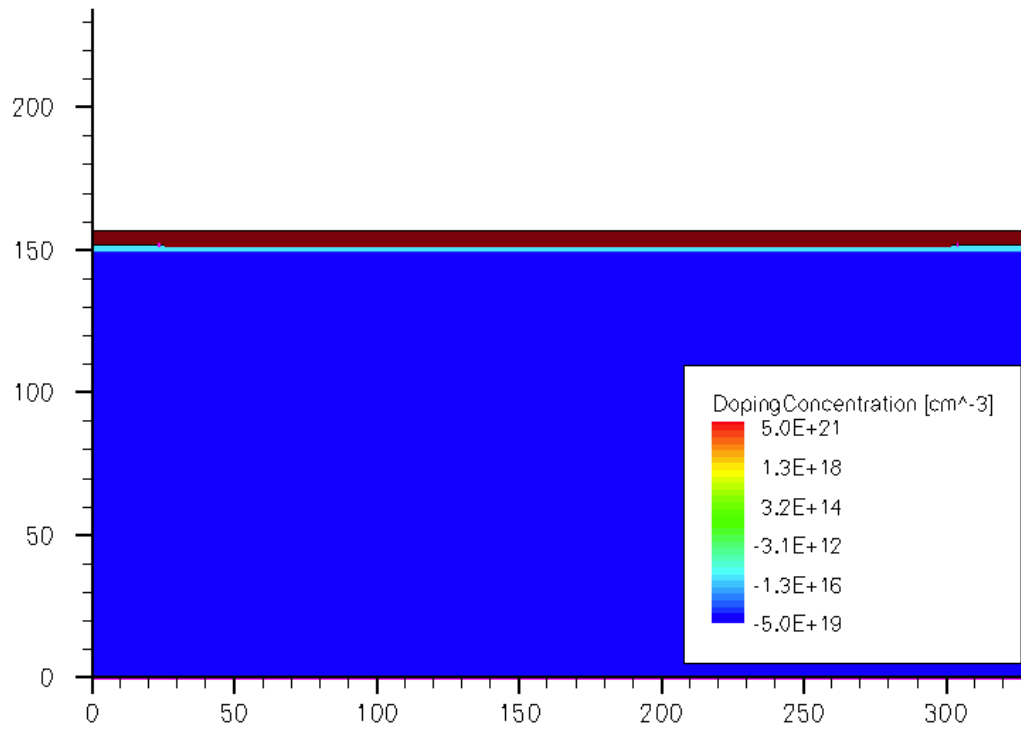
### Comparing the Simple TPA Model to Experimental Results

While the simple TPA model is an approximation to the TPA-induced carrier density in an actual semiconductor, it would be insightful to compare the charge collection due to a carrier distribution described by the simple TPA model directly to the experimental results shown earlier. The sections that follow discuss the implementation of the simple TPA model in two bulk silicon diode variants using Synopsys TCAD [35]. Specifically, two-dimensional TCAD models of the epi and bulk diodes were created and the terminal currents as a function of time as a result of injecting a carrier distribution similar to that shown in Fig.II.6 was recorded. The substrate doping for the simulated epi diode was  $5 \times 10^{19} \text{ cm}^{-3}$ . The substrate doping of the simulated bulk diode was  $5 \times 10^{16} \text{ cm}^{-3}$ . The results indicate that while the simple TPA model is useful for examining qualitative trends in charge collection due to a TPA-induced carrier density, it is insufficient for a direct quantitative comparison to the experimental data shown in previous sections.

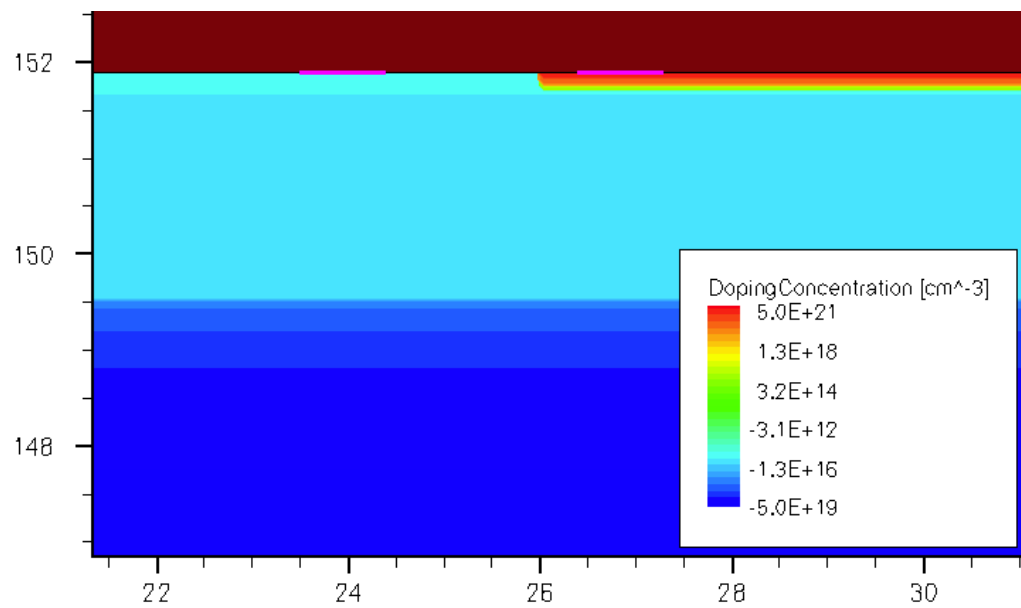
#### VI.1 Simulation Specifics

All simulations were performed using the Sentaurus Device simulator from Synopsys. The results presented here are the results of two-dimensional simulations of each diode's response to a TPA-induced carrier distribution. Because a thorough run of simulations that adequately reflected the experimental measurements was required, cylindrical two-dimensional simulations were chosen to keep simulation run-time at reasonable levels. The axis of revolution for the simulated device corresponded to the longitudinal center of the TPA region, resulting in a carrier distribution with cylindrical symmetry.

To determine the correct location and size for the contacts, a GDSII file containing information about the layout of the diode was used. The doping profile of the epi diode was determined through spreading resistance measurements. Fig.VI.1 shows the device geometry and doping profiles of the epi diode. The bulk diode was identical, only its substrate doping was reduced to  $5 \times 10^{16} \text{ cm}^{-3}$ . Fig.VI.1a shows the entire simulated structure, which was approximately  $160 \mu\text{m}$  tall by  $330 \mu\text{m}$  wide. The width was determined directly from the GDSII file, while the height was chosen to



(a)



(b)

Figure VI.1: The two-dimensional epi diode as simulated in Sentaurus Device. Fig.VI.1a shows the entire simulated diode while Fig.VI.1b shows only a portion of the diode consisting of the n+ diffusion, p-epitaxial layer, and p+ substrate. The dark red region corresponds to silicon dioxide. Total doping concentration is plotted. The axes of each figure are in micrometers. The structure of the simulated bulk diode was identical, only the substrate doping matched that of the epitaxial layer.

allow as much of the TPA region as possible to be contained within the simulation space while still maintaining a reasonable simulation run time.

The simulated device is contacted just as it is in the actual device. One contact runs along the edge of the n+ diffusion. The p-epi layer is contacted similarly. The contacts can be seen in Fig. VI.1b as purple lines on top of the epitaxial layer and n+ diffusions. Another contact runs along the length of the bottom of the substrate. All contacts were made to be ideal in the simulator. For all simulations, the device was biased identically to the experimental work discussed earlier. That is, the p+ substrate and p-epitaxial layers were grounded while the n+ contacts were biased at 5 V. At the full reverse bias, TCAD reported a depletion region width of  $0.8\ \mu\text{m}$  for the epi diode and  $0.9\ \mu\text{m}$  for the bulk diode.

TPA has been simulated in a device previously [20]. The simulations in this work use a similar approach. Because the simulation software does not allow for computing the TPA-induced carrier density through actual photon absorption processes, the TPA region must be defined as a carrier distribution in the simulator. This is done by injecting a carrier distribution that looks identical to that of Fig. II.6. By doing that, all of the approximations inherent to the simple TPA model are also included in the simulations. While this does mean that quantitatively it would be very difficult to compare experimental values for collected charge to the values produced by the simulator, it does allow for the comparison and analysis of trends in the data in a qualitative sense. To accomplish this in the simulator itself, an external library was written that calculates the TPA-induced carrier density as a function of the parameters discussed earlier for the simple TPA model. This library is dynamically linked to the simulator at run time to perform the required calculations.

The simulations were carried out in way that was very similar to the experiments discussed earlier. The focal plane of the laser (which corresponds to the narrowest point in the carrier density plot shown in Fig. II.6) was situated in the device, and the resulting carrier distribution simulated. A series of these simulations was run with the focal plane at a different point in the device for each simulation. Ultimately, what results is a plot of collected charge as a function of focal plane position in the device, which is the same way the experimental data were presented.

For each simulation, a laser pulse energy of 0.25 nJ was used. Because the simulator does not account for any affects that would cause a reduction in the amount of laser pulse energy that actually contributes to creating the TPA region, even this seemingly small amount of laser pulse energy



injects quite a bit more carriers than is seen for similar energies during the experiment. Because the simulations were cylindrical about the longitudinal axis of the TPA region, the actual amount of carriers generated was  $\pi$  times the amount generated assuming a 0.25 nJ pulse. Therefore, the actual amount of carriers generated during the simulations corresponds to a 0.78 nJ laser pulse.

A beam waist diameter of 1.4  $\mu\text{m}$  was used in all simulations. Each simulation was run for 100 ns, which was enough time for all current transients to reach their asymptotic values. The carrier recombination and generation physical models used by the simulator were the simple TPA model discussed earlier, electron and hole avalanche models, and models for Auger and Shockley-Read-Hall recombination. Other models included doping dependent carrier mobilities, carrier to carrier scattering models, high field saturation models, OldSlotboom, Enormal, and a model to account for Fermi statistics.

## VI.2 Simulated Charge Collection Profiles

After all of the simulations were completed, it was possible to produce charge collection profiles similar to those seen in the previous chapters. This was done by numerically integrating the terminal current as a function of time, which was recorded by the simulator. Fig. VI.2 shows charge collection profiles for each of the simulated diodes. The simulation results show significantly more charge collection than the experimental data shown earlier. Because of this, a simple calculation to determine the amount of charge generated in the epitaxial layer of the epi device is beneficial for determining if the simple TPA model was appropriately implemented in TCAD. To a rough approximation, only carriers generated in the epitaxial layer will be collected. For the pulse energy used in the simulations (0.78 nJ), the simple TPA model predicts a peak carrier density of  $5 \times 10^{18} \text{ cm}^{-3}$ . Because the charge collection depth was determined through heavy ion experiments at LBNL, using a charge collection depth of 2.1  $\mu\text{m}$  in the calculation is reasonable. Also, because carrier generation is tightly confined within the 1.4  $\mu\text{m}$  beam diameter, assuming a cylindrical charge collection volume with a 0.7  $\mu\text{m}$  radius is sufficient for a first order approximation of charge collection. Finally, assuming a 100% charge collection efficiency within the charge collection volume described by the height and radius given above, multiplying the peak carrier density of  $5 \times 10^{18} \text{ cm}^{-3}$  by the charge of an electron produces a total generated charge of approximately 25 pC. Because this calculation assumes a 100% efficient charge collection volume, that is also the amount of charge

collected by the epi device. This number is not drastically different than the peak charge collection shown in Fig.VI.2 for the epi device, especially considering the approximate nature of the calculation. This suggests that the simple TPA model has been appropriately implemented in TCAD. It does not, however, explain the discrepancy between the simulation results and the experimental results shown earlier.

Part of the disagreement is due to the nature of the simple TPA model itself. A significant amount of carriers are lost (or never even generated) during the TPA process due to the aforementioned higher order optical processes that the simple TPA model ignores. Because the simple model does not take these optical effects into consideration, it assumes that 100% of the carriers that can be generated by TPA are generated. This causes the simple TPA model to over-predict the amount of carriers generated. This leads to an over-prediction in the amount of charge collected at the device terminals.

Another possible culprit for the discrepancy between the simulation results and the measured collected charge lies with the experiment itself. The pulse energies shown in the legends of Figs. V.1 and IV.1 were measured in the beamline away from the DUT. It is possible that by the time the beam reached the DUT, the pulse energy at the DUT was not the same as that back in the beam line where the pulse energy measurement was made. This would lead to a significant discrepancy between the collected charge measured during the experiment and that shown for the simulation results. Attempting to identify and correct these sources of disagreement between the simulation results and the results of the experiments is the focus of future work.

Regardless of the disagreement between the amount of charge collection seen in the experiments and that reported by the simulations, several trends are present in Fig. VI.2 that can be seen in the charge collection profiles shown earlier for both devices. For instance, for the epi diode, the peak collected charge is considerably less than that of the bulk device. For the experimental data shown in Figs. V.1 and IV.1a, which are for the epi diode and bulk diode respectively, the epi diode shows less peak charge collection than the bulk diode for similar laser pulse energies. The main difference between each of the diodes is their substrate doping concentrations, which is cause to believe that the difference in substrate doping is a main factor contributing to the different peak values for the experimental charge collection profiles, especially when the simulation results of Fig.VI.2 are taken into account.

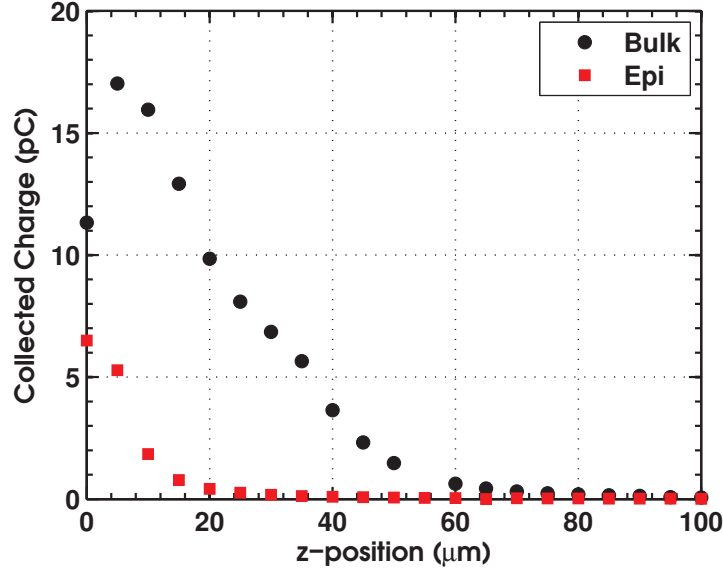


Figure VI.2: Simulated charge collection profiles for both diodes using a 0.25 nJ laser pulse and a  $1.4 \mu\text{m}$  waist diameter. Several trends are present in these data that can be seen in the experimental charge collection profiles shown earlier.

It has been shown both experimentally and in simulation that for ionizing particles, the presence of an epitaxial layer over a highly doped substrate limits charge collection [28, 29]. A study focusing on the differences in charge collection for a purely bulk diode versus one with a buried epitaxial layer has not been previously discussed in the literature for a TPA-induced carrier distribution. However, many of the same mechanisms are present for both doping cases. Chiefly, the presence of the highly doped substrate limits the size of the charge collection volume in the device.

Another trend shown in Fig.VI.2 is that for decreasing substrate doping concentrations, the charge collection profiles become wider. As the substrate doping decreases, two phenomena could be leading to increased charge collection. For one, the carrier density in the wings of the TPA could be dense enough at the lower substrate doping to cause greater amounts of charge collection. Secondly, as the substrate doping decreases, carriers liberated deep in the substrate are able to diffuse to the junction and be collected. As the doping decreases, the carrier lifetime (and thus the carrier diffusion length) increases, allowing carriers to travel farther in the device before they recombine. Because of this, the likelihood of a carrier liberated deep in the substrate diffusing to the junction and being collected is greater in a lightly doped substrate. It is the interplay of both of these phenomena that leads to the wider charge collection profile for the bulk diode. Also, for the

bulk device, there is a prominent shift in where the peak charge collection occurs. This suggests that the wings of the TPA region are having a greater impact on charge collection for the bulk diode. Because the collected charge peaks at the surface for the epi diode, the additional carriers that are brought into the device as the focal plane moves deeper in to the substrate are not having as noticeable an effect on the charge collection profile. This suggests that for the epi diode, only the region of carrier generation directly around the focal plane of the laser is contributing to significant charge collection.

## CHAPTER VII

### Implications for TPA SEE Testing

An interesting question is what these results, both experimental and from simulations, mean for current TPA SEE testing methods. Two of the key observations from this work, the impact of the beam waist diameter on overall charge collection and the shift in peak charge collection away from the device junction for higher laser pulse energies and lower substrate dopings, do have important implications for TPA SEE test methods.

Small changes in the beam waist diameter can lead to dramatic changes in overall collected charge. This is shown in Figs. IV.1 and its accompanying discussion. Unexpected changes in the beam waist diameter could make it very difficult to compare experimental results collected at different times. Take, for example, (II.1)-(II.3), which describe how several important beam parameters depend upon the beam waist diameter. Key among these is (II.3), which describes the pulse irradiance. The pulse irradiance depends strongly on the beam diameter. The equations governing the TPA-induced carrier density, in turn, depend strongly on the pulse irradiance. Because of this, a small change in the beam waist diameter over the course of several experiments (which might be weeks or months apart) could lead to results that are difficult or impossible to interpret without a detailed knowledge of the beam diameter. Measurements similar to those shown in Fig.III.2 taken as a routine part of each experiment could help alleviate potential confusion, but would require sacrificing time that could be spent making other important measurements. Ultimately, if a particular test campaign is expected to require many measurements over a long period of time, making a routine measurement of the beam profile could prove valuable when analyzing the experimental data. This would help to account for any potential drifts or changes in the beam waist diameter over time.

It is possible that a large beam waist could be advantageous to some types of TPA SEE tests. As shown in Fig.IV.1, a large beam waist gives rise to a large, columnar TPA region. In many TPA experiments, it is desired to keep the TPA region as small as possible when testing small devices or dense circuits. However, if it were desired to create a wide column of carriers in a device or circuit and test its response to such a carrier distribution, increasing the beam waist diameter through the use of different focusing objectives might be desirable. One particular example where this could be

useful is if the experimenter wants to examine the effects of multinode charge collection across a large circuit area. Some TPA-induced latchup studies might also benefit from being able to inject a large column of charge into a circuit.

Another possible testing concern is due to the peak shifts shown in Figs. IV.1a, V.1b, and VI.2. These peak shifts may seem an innocuous side effect of TPA testing at first. However, when one considers the methodology guiding a typical TPA SEE test, these peak shifts present cause for some concern. In many TPA SEE tests, the laser spot is focused on the surface of the device and the focal plane of the laser is moved along the z-axis until the largest charge collection is observed. Doing this helps to ensure a favorable signal-to-noise ratio for the experiment. However, many TPA tests that use this approach also assume that the greatest charge occurs when the focal plane is approximately on the top surface of the device, which would correspond to injecting the most carriers directly into the depletion region of the device. However, in making this assumption, experimenters also assume that the spot size on the surface is that of the minimum beam waist diameter. As the results presented here have shown, for sufficiently high laser pulse energies, or lightly doped substrates the peak collected charge occurs when the focal plane of the laser is actually several microns below the device surface. What this means is that the spot size on the surface could be several microns greater than the beam waist diameter due to the rapid divergence of the profile of a Gaussian beam. For the case of a TPA SEE test on dense SRAM, for example, this could mean that many more devices are covered by the laser spot than would be covered if the narrowest portion of the beam were focused on the surface of the device. This is a situation that can be remedied easily enough by focusing the laser for a minimum spot size rather than maximum charge collection. This might reduce the signal to noise ratio, but it would cause the spot size on the surface to be much closer to that of the beam waist diameter. In some situations, measuring the greatest amount of charge collection is the goal, rather than having precise knowledge of where in the device the majority of the carriers are generated. If that is the case, the presence of peak shifts may not play a significant role in the interpretation of the results.

In situations where charge collection from multiple adjacent devices is important, the presence of peak shifts is a quality of TPA SEE testing that should not be overlooked. [36] discusses charge collection from multiple devices due to parasitic bipolar amplification. While the charge collection resulting from this effect is due to the interaction of several mechanisms, it is triggered by a pertur-

bation in the n-well potential due to ion strikes near the n-well boundary. Generating a sufficient number of carriers near the n-well boundary can lead to a localized collapse of the n-well potential, which triggers the effect. For TPA SEE testing, the reported peak shifts indicate that a significant amount of carriers can be generated several microns below the top surface of the DUT when the laser is focused for maximum charge collection. Depending on the experimental setup and the geometry of the DUT, this could place a significant amount of carriers around the n-well boundary, which could lead to the unintentional triggering of the parasitic bipolar amplification effect.

## CHAPTER VIII

### Conclusions

The goal of this work has been to shed light on some of the more subtle aspects of TPA SEE testing. A good understanding of the TPA effect requires a thorough understanding of the TPA process and the role it can play in generating carriers in semiconductors. As such, background information was provided to develop a foundation of understanding for the remainder of the work. Specifically, the equations governing the TPA process were discussed, as well as relevant Gaussian beam parameters such as the confocal parameter and beam waist diameter. This led to the development of a simple TPA model. This model ignores any higher order optical effects, which could have a significant impact on the quantitative values for the TPA-induced carrier generation region in an actual device. It does, however, serve as a good starting point to understand TPA in a qualitative way. Any future modeling work concerning TPA in semiconductors will very likely begin with the simple model before expanding to accommodate any higher order effects.

Following that, the experimental setup and methodology was discussed in some detail. This involved a discussion of topics such as pulse height analysis, the geometry and dopings of the devices to be tested, the determination of the beam waist diameter using the knife-edge technique, and an explanation of the measurement procedure. The measurement procedure involved stepping the focal plane of the laser through the device and making a charge collection measurement at each step. The resulting collected charge was plotted as a function of position in the device. These plots have been called charge collection profiles throughout this work.

The charge collection profiles for two different bulk silicon diodes were examined for two different beam waist diameters at a wide range of laser pulse energies. The profiles showed that for increasing beam waist diameters, the TPA region becomes less like a localized region of carrier generation and more like a wide column of charge in the device. It was also shown that at higher laser pulse energies, the peak in collected charge occurred not when the focal plane of the device was on the surface of the device (and thus approximately within the junction), but rather when the focal plane was several microns below the surface. This was attributed to the wings of the TPA region having a greater impact on charge collection at higher laser pulse energies.



The same trend was also observed as a function of doping. It was seen experimentally for the two tested devices, one of which had a highly doped p-type substrate, while the other had a lightly doped p-type substrate, and also observed in TCAD simulations of TPA. In the simulations, the epi diode was modeled using cylindrical two-dimensional simulations. A series of simulations were carried out in which the substrate doping was changed from a highly doped p-type to a lightly doped p-type. The results of these simulations showed the trends seen in the experimental data. That is, for a lighter doped substrate (i.e. the bulk diode), the peak in collected charge shifted off of the surface for an identical laser pulse energy. There was a significant disagreement between the amount of charge collected in the experiment, and the amount of collected charge from the simulations. This discrepancy was attributed to the simplicity of the simple TPA model and the lack of precise knowledge of the amount of laser energy reaching the DUT during the experiments. An attempt to bring the simulation results and the measurements into better agreement will be the focus of future work.

Finally, the implications of these results for TPA SEE testing were discussed. While none of these results fundamentally alter the way that TPA SEE testing should be carried out, they do shed light on some effects that should be taken into account when interpreting TPA SEE test data. Specifically, the beam waist diameter should be monitored over the duration of long testing campaigns to be sure that its size has not changed, which would make some results difficult to interpret. Also, the impact of the aforementioned peak shifts in the presence of high laser pulse energies or a lightly doped substrate should not be ignored, especially in test setups where the spot size of the laser at the surface or charge collection from multiple adjacent devices is of a primary concern.

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